UNIVERSITY OF CALIFORNIA
OFFICE OF THE CHIEF INVESTMENT OFFICER
1111 BROADWAY, SUITE 1400
OAKLAND, CA 94607-9828

AGRICULTURE AND NATURAL RESOURCES (ANR)

SECURITIES DELIVERY INSTRUCTIONS

FUND NAME IF KNOWN, PURPOSE/PROGRAM & LOCATION (BELOW):

DEPOSITORY TRUST COMPANY (DTC) (Preferred method)

FAX notice to Floyd Gazaway at (510) 987-9685 OR call at (510) 987-9684 prior to initiating transfer.
>   Bank: State Street Bank & Trust Co
>   DTC Participant Number: 0997
>   Agent Bank Number: 26022
>   Institutional FINS Number: 35710
>   Account Number: QP10
>   Account Name: Regents of UC
>   **Campus Name: UC Agriculture and Natural Resources (UC ANR)**
>   **Donor Name:** _____

>   **Please note:**
>   - **Donor information** does NOT transmit through the DTC wiring system. If you have any questions, please FAX this form to Floyd Gazaway at (510) 987-9685 OR call at (510) 987-9684 prior to initiating transfer.
>   - To ensure acceptance of **MUTUAL FUNDS**, it is particularly important to contact your campus representative or Floyd Gazaway prior to initiating transfer. The transfer will not be successful if a Regents account is not already established or pre-established with the mutual fund company.

PHYSICAL
Register or endorse all physical securities into the Regents' of UC nominee name:
>   **Shellwater & Co.**
>   **FBO: Regents of UC**
Donor's endorsement on physical certificate or stock power must be signature medallion guaranteed.

>   **Mail certificate to:**
>   DTCC New York Window
>   55 Water Street
>   New York, New York 10041
>   Reference: Account# QP10
>   Account Name: Regents of UC
>   ATTN: Robert Mendez/State Street
>   Ref: Travis Calabio 916-319-6833
>   **Campus Name   UC ANR   Donor Name** _____
>   Contact Information: Travis Calabio 916-319-6833, FG_UCRegents@statestreet.com

Please Note: For tax purposes, your gift will be valued on the average price (the mean between the highest and lowest selling prices) of the stock on the date of the gift. For accurate valuation purposes, sending broker may be advised to initiate securities transfer after market close, but before the close of their business day.

Updated 4-30-2020